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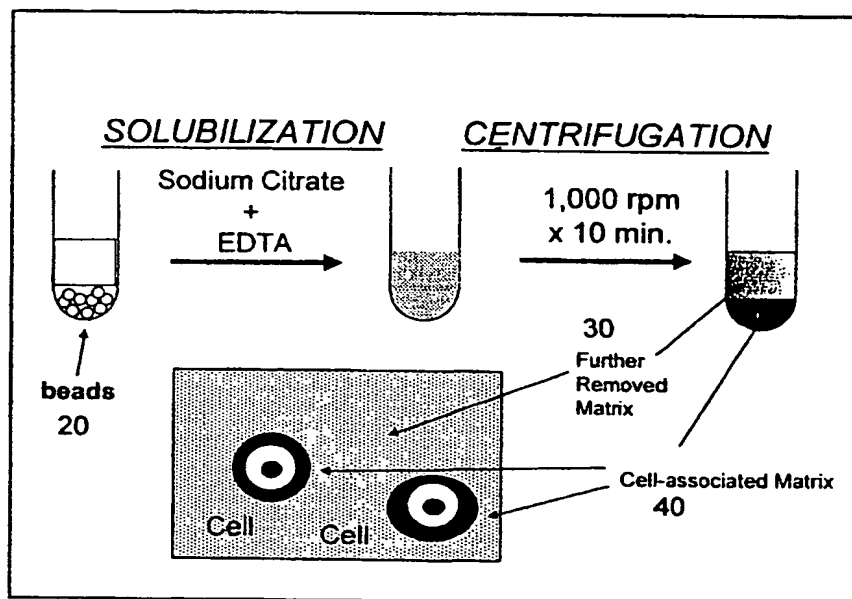
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(54) Title: IN VITRO PRODUCTION OF TRANSPLANTABLE CARTILAGE TISSUE



(57) Abstract

The present invention is directed to a transplantable cartilage matrix (40), and a method for its in vitro production.

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IN VITRO PRODUCTION OF TRANSPLANTABLE CARTILAGE TISSUE

5 The present invention relates to the method of production of cartilage tissue for surgical implantation into human joints for the purpose of filling defects of the articular cartilage or replacing damaged or degenerated cartilage.

10

BACKGROUND OF THE INVENTION**Cartilage injury and repair:**

Human joint surfaces are covered by articular
15 cartilage, a low friction, durable material that distributes mechanical forces and protects the underlying bone. Injuries to articular cartilage are common, especially in the knee. Data from the Center for Disease Control (CDC) and clinical studies have suggested that
20 approximately 100,000 articular cartilage injuries occur per year in the United States. Such injuries occur most commonly in young active people and result in pain, swelling, and loss of joint motion. Damaged articular cartilage does not heal. Typically, degeneration of the
25 surrounding uninjured cartilage occurs over time resulting in chronic pain and disability. Cartilage injuries therefore frequently lead to significant loss of productive work years and have enormous impact on patients' recreation and lifestyle.

30 Joint surface injuries may be limited to the cartilage layer or may extend into the subchondral bone. The natural histories of these types of injuries differ. Cartilage injuries which do not penetrate the subchondral bone have limited capacity for healing (1). This is due
35 to properties inherent to the tissue. Nearly 95 per cent of articular cartilage is extracellular matrix (ECM) that is produced and maintained by the chondrocytes dispersed throughout it. The ECM provides the mechanical integrity of the tissue. The limited number of chondrocytes in the

surrounding tissue are unable to replace ECM lost to trauma. A brief overproduction of matrix components by local chondrocytes has been observed (2); however, the response is inadequate for the repair of clinically
5 relevant defects. Cellular migration from the vascular system does not occur with pure chondral injury and extrinsic repair is clinically insignificant.

Osteochondral injuries, in which the subchondral bone plate is penetrated, can undergo healing due to the
10 influx of reparative cells from the bone marrow (1). Numerous studies have shown, however, that the complex molecular arrangement of the ECM necessary for normal cartilage function is not recapitulated. The repair response is characterized by formation of fibrocartilage,
15 a mixture of hyaline cartilage and fibrous tissue. Fibrocartilage lacks the durability of articular cartilage and eventually undergoes degradation during normal joint use. Many osteochondral injuries become clinically asymptomatic for a period of a few to several
20 years before secondary degeneration occurs. However, like isolated chondral injuries, these injuries ultimately result in poor joint function, pain, and disability.

25 **Molecular organization of the ECM:**

The physical properties of articular cartilage are tightly tied to the molecular structures of type II collagen and aggrecan. Other molecules such as hyaluronan and type IX collagen play important roles in matrix
30 organization. Type II collagen forms a 3-dimensional network or mesh that provides the tissue with high tensile and shear strength (3). Aggrecan is a large, hydrophilic molecule, which is able to aggregate into complexes of up to 200 to 300 x 10⁶ Daltons (4)]. Aggrecan
35 molecules contain glycosaminoglycan chains that contain large numbers of sulfate and carboxylate groups. At physiological pH, the glycosaminoglycan chains are thus highly negatively charged (5). In cartilage, aggrecan

complexes are entrapped within the collagen network. A Donnan equilibrium is established in which small cations are retained by electrical forces created by the sulfate and carboxylate groups (6). Water is in turn retained by the osmotic force produced by large numbers of small cations in the tissue.

When the joint is mechanically loaded, movement of water results in perturbation of the electrochemical equilibrium. When the load is removed, the Donnan equilibrium is reestablished and the tissue returns to its pre-loaded state (7). The physical properties of articular cartilage are tightly tied to the molecular structures of type II collagen and aggrecan. Other matrix molecules, such as hyaluronan (8) and type IX collagen (9), play important roles in matrix organization. Failure to restore the normal molecular arrangement of the ECM leads to failure of the repair tissue over time, as demonstrated by the poor long-term performance of fibrocartilage as a repair tissue (10).

Distinct compartments have been demonstrated within the ECM. These differ with respect to the composition and turnover of matrix macromolecules. Immediately surrounding each chondrocyte is a thin shell of ECM characterized by a relatively rapid turnover of matrix components (11). This region is termed the pericellular matrix (11). Surrounding the pericellular matrix is the territorial matrix. Further from the cells is the interterritorial matrix (11). Turnover of matrix macromolecules is slower in the interterritorial matrix than in the pericellular and territorial matrices (11). The role that these various compartments play in the function of the tissue as a whole is unclear. From the perspective of articular cartilage repair, however, they represent a higher level of matrix organization that must be considered in the restoration of injured tissue.

Surgical Treatment of Articular Cartilage Injury:

Current methods of surgical restoration of articular cartilage fall into three categories: (1) stimulation of fibrocartilaginous repair; (2) osteochondral grafting; and (3) autologous chondrocyte implantation.

Fibrocartilage, despite its relatively poor mechanical properties, can provide temporary symptomatic relief in articular injuries. Several surgical techniques have been developed to promote the formation of fibrocartilage in areas of cartilage damage. These include subchondral drilling, abrasion, and microfracture. The concept of these procedures is that penetration of the subchondral bone allows chondroprogenitor cells from the marrow to migrate into the defect and effect repair. The clinical success rate of this type of treatment is difficult to assess. In published series, success rates as high as 70% are reported at 2 years; however, the results deteriorate with time. At five years post-treatment, the majority of patients are symptomatic.

In osteochondral grafting, articular cartilage is harvested with a layer of subchondral bone and implanted into the articular defect. Fixation of the graft to the host is accomplished through healing of the graft bone to the host bone. The major advantage of this technique is that the transplanted cartilage has the mechanical properties of normal articular cartilage and therefore can withstand cyclical loading. The major disadvantages are donor-site morbidity (in the case of autograft) and risk of disease transmission (in the case of allograft). Additionally, tissue rejection can occur with allografts which compromises the surgical result. Osteochondral autografting (mosaicplasty) has demonstrated short-term clinical success. The long-term effectiveness is unknown. Osteochondral allografts are successful in approximately 65% of cases when assessed at 10 years post-implantation, but are generally reserved for larger areas of damage extending deep into the subchondral bone.

Autologous chondrocyte implantation is a method of cartilage repair that uses isolated chondrocytes. Clinically, this is a two-step treatment in which a cartilage biopsy is first obtained and then, after a period of *ex vivo* processing, cultured chondrocytes are introduced into the defect (12). During the *ex vivo* processing, the ECM is removed and the chondrocytes are cultured under conditions that promote cell division. Once a suitable number of cells are produced, they are implanted into the articular defect. Containment is provided by a patch of periosteum which is sutured to the surrounding host cartilage. The cells attach to the defect walls and produce the extracellular matrix *in situ*. The major advantages of this method are the use of autologous tissue and the ability to expand the cell population. Difficulties with restoration of articular cartilage by this technique fall into three categories: cell adherence, phenotypic transformation, and ECM production.

Cell adherence. The success of implantation of individual cells (without ECM) is critically dependent upon the cells attaching to the defect bed. Cartilage ECM has been shown to have anti-adhesive properties, which are believed to be conferred by small proteoglycans, dermatan sulfate, and heparan sulfate. Normal chondrocytes possess cell-surface receptors for type II collagen (13) and hyaluronan (11), but it is not clear to what extent *ex-vivo* manipulated cells possess receptors for these matrix molecules that are functional. An *in vitro* study of chondrocyte binding to ECMs suggests that the interaction is weak. An *in vivo* study in rabbits suggests that only 8% of implanted chondrocytes remain in the defect bed after implantation.

Phenotypic transformation. During the process of expanding the cell population *in vitro*, chondrocytes usually undergo phenotypic transformation or dedifferentiation (14). Morphologically, the cells resemble fibroblasts. Synthesis of type II collagen and

aggrecan is diminished and synthesis of type I collagen, typical of fibrocartilage, is increased. Limited data exist to support the contention that the cells *redifferentiate in situ* following implantation.

- 5 Reestablishment of the chondrocytic phenotype is critical to the success of the repair process, as tissue produced by cells which are phenotypically fibroblastic functions poorly as a replacement for articular cartilage .

ECM production. Prior to implantation, the cultured
10 chondrocytes are enzymatically denuded of ECM. The cells are injected into the defect bed as a suspension. The graft construct is incapable of bearing load and must be protected from weight bearing for several weeks to months. Limited data exist on the quality of the ECM
15 that is ultimately produced. It has been characterized as hyaline-like tissue at second-look arthroscopy two years post-implantation [Petersen, L., personal communication]. The overall recovery period from this form of treatment is 9-12 months. Good or excellent clinical results are
20 achieved in approximately 85% of femoral condyle lesions 2 years post-implantation. However, it is not clear whether the clinical results will be maintained over longer follow-up periods.

25 **Tissue Engineering:**

Each of the current methods of cartilage repair has substantial limitations. As a result, several laboratory approaches to production of cartilage tissue *in vitro*
30 have been proposed. These generally involve seeding of cultured cells (either chondrocytes or pluripotential stem cells) into a biological or synthetic scaffold. The major drawbacks of this type of approach are: (1) difficulty in attaining or maintaining the chondrocyte
35 phenotype; (2) unknown biological effects of the scaffold material on the implanted and native chondrocytes and

other joint tissues; and (3) limited attachment of the engineered tissue construct to the defect bed.

The present invention involves the production of an implantable cartilage tissue. Its method of preparation
5 and composition address the major problems encountered with current techniques of cartilage repair. The major advantages, features and characteristics of the present invention will become more apparent upon consideration of the following description and the appended claims.

10

SUMMARY OF THE INVENTION

The present invention relates to a transplantable cartilage matrix and a method for its production. Cartilage tissue produced by this method has properties
15 that, with time in culture, become similar to those of a naturally occurring cell-associated ECM. At the time of reimplantation, the matrix in the cartilage tissue has a high rate of turnover (i.e. it is metabolically active). It is rich in cartilage-specific aggrecan proteoglycans
20 and contains enough long hyaluronan chains to allow all these aggrecan molecules to form large aggregates of very large size, but it is relatively poor in collagen pyridinium crosslinks. These properties enhance the implantability of the tissue and subsequent maturation of
25 the tissue *in situ* following implantation, which leads to integration with the host.

In accordance with the method of the invention, chondrocytes are isolated from tissues containing chondrogenic cells. The isolated chondrogenic cells are
30 cultured in alginate culture for an amount of time effective for allowing formation of a chondrogenic cell-associated matrix. In an important aspect of the invention, the cell-associated matrix has at least about 5mg/cc³ of aggrecan, a ratio of aggrecan to hyaluronan
35 (mg/mg) between about 10:1 and about 200:1, and a ratio of aggrecan to collagen (mg/mg) between about 1:1 to about 10:1.

Chondrogenic cells, each with a pericellular matrix, are recovered and cultured on a semipermeable membrane system in the presence of serum or serum containing exogenously added growth factor(s). The chondrogenic
5 cells with cell-associated matrix are cultured for a time effective for formation of a cohesive cartilage matrix.

In an important aspect, the invention relates to the use of such *in vitro*-produced articular tissue in the surgical repair of cartilage damage. Such damage would
10 include acute partial and full thickness chondral injuries, osteochondral injuries, and degenerative processes. Surgical treatment includes open surgical techniques (arthrotomy) and arthroscopic application/insertion of the *in vitro*-produced
15 cartilaginous tissue.

DESCRIPTION OF THE DRAWINGS

Figure 1 generally illustrates the overall process for the production of transplantable cartilage matrix in
20 accordance with the present invention.

Figure 2 describes a method for the separation of cells and their cell-associated matrix from the further removed matrix and alginate gel.

Figure 3 shows a culture method on a semipermeable
25 membrane.

Figure 4 shows the histological appearance of *in vitro* regenerated cartilage matrix produced in accordance with the present invention. Bovine articular chondrocytes were cultured with DMEM/F12 containing 20%
30 FBS, an effective amount of growth factor, 10 μ g/ml gentamicin and 25 μ g/ml ascorbic acid in 1.2% alginate. After 7 days of culture, the beads were dissolved with 55mM sodium citrate, 0.15M sodium chloride, pH 6.8. The resulting suspension of the cell with their associated
35 matrix is centrifuged at 100g for 10 minutes. The pellet was resuspended in the same medium described above. After 7 additional days in culture, each insert was

removed from the tissue culture plate and the tissue was processed for histology by Toluidine Blue Staining.

Figure 5 shows repair of a cartilage defect one month after reimplantation of the cartilage tissue formed
5 in vitro.

DETAILED DESCRIPTION

A generalized process of the present invention is set forth in Figure 1. In accordance with the invention,
10 chondrocytes are isolated and cultured in alginate. The resulting chondrocytes, each with a cell-associated matrix, are recovered and then further cultured on a semipermeable membrane. The resulting cartilage tissue then is utilized for transplantation.

15

Isolation of Chondrocytes/Chondrogenic Cells

Chondrogenic cells useful in the practice of the invention may be isolated from essentially any tissue containing chondrogenic cells. As used herein, the term
20 "chondrogenic cell" is understood to mean any cell which, when exposed to appropriate stimuli, may differentiate into a cell capable of producing and secreting components characteristic of cartilage tissue. The chondrogenic cells may be isolated directly from pre-existing
25 cartilage tissue, for example, hyaline cartilage, elastic cartilage, or fibrocartilage. Specifically, chondrogenic cells may be isolated from articular cartilage (from either weight-bearing or non-weight-bearing joints), costal cartilage, nasal cartilage, auricular cartilage,
30 tracheal cartilage, epiglottic cartilage, thyroid cartilage, arytenoid cartilage and cricoid cartilage. Alternatively, chondrogenic cells may be isolated from bone marrow. See for example, U.S. Pat. Nos. 5,197,985 and 4,642,120, and Wakitani *et al.* (1994) *J. bone Joint Surg.* 76:579-591, the disclosures of which are
35 incorporated by reference herein.

Culture in Alginate for the Production of Chondrocyte Cell-associated Matrix

In accordance with the present invention, chondrocytes/chondrogenic cells isolated from the tissue are resuspended at a density of at least about 10^4 cells/ml in a solution of sodium alginate. The cells cultured under conditions effective for maintaining their spherical conformation conducive to the production, upon the chondrocyte membrane, of a cell-associated matrix similar to that found *in vivo*. In an important aspect, chondrocytes are cultured in alginate for at least about five days to allow for formation of the cell-associated matrix. Culture media used may contain a stimulatory agent, such as fetal bovine serum, to enhance the production of the cell-associated matrix.

In an alternative aspect of the invention, the culture medium for the chondrocytes may further include exogenously added specific growth factors. The addition of specific growth factors, for example those not already present in fetal bovine serum, such as osteogenic protein-1, may act as an effective stimulator of matrix formation. Growth factors (other than those present in fetal bovine serum) may be advantageous as they are becoming available as human recombinant proteins. The use of human growth factors is advantageous in as far as they are less likely to cause an immune response in the joint (the use of fetal bovine serum requires extensive rinsing of the newly-formed tissue prior to its implantation). In this aspect of the invention, growth factor is added to the medium in an amount to near-maximally stimulate formation of the cell-associated matrix.

In an other important aspect of the invention, amplification of chondrocytes or chondrogenic cells in alginate does not induce loss of the chondrocyte phenotype as occurs when amplification is performed in monolayer culture. As used herein, "chondrocyte phenotype" refers to a cell that has (i) a spherical shape

and the ability to synthesize and accumulate within the matrix significant amounts of (ii) aggrecan and (iii) type II collagen without (iv) accumulating within the matrix an effective amount of type I collagen. As used
5 herein, a minimal amount of collagen type I means less than about 10% of all collagen molecules that become incorporated within the matrix. Chondrocytes cultured in alginate retain their spherical shape (typical of chondrocytes) and maintain a large amount of matrix. The
10 matrix resembles hyaline cartilage histologically and is rich in aggrecan and collagen type II.

In addition to the three parameters already mentioned, a phenotypically stable chondrocyte must retain the ability to effectively incorporate the major
15 macromolecules into a cartilage-like matrix. Normal chondrocytes may express small amounts of mRNA for collagen type I that they do not translate. Further, articular chondrocytes cultured in alginate beads for several months may synthesize some collagen type I
20 molecules, but the latter never become incorporated into the forming matrix. Consequently, the appearance of small amounts of newly-synthesized collagen type I molecules in the medium does not necessarily denote the onset of dedifferentiation. Further, hyaluronan is not a
25 marker of the chondrocytic phenotype since it is synthesized in large amounts by many other cell types. However, it is an essential constituent of the cartilage matrix.

Cells that are phenotypically stable should
30 synthesize at least about 10 times more aggrecan than collagen (on a mass basis). Further, the ratio of aggrecan to hyaluronan in the matrix should always remain above about 10.

35

Chondrocyte with Cell-associated Matrix

Culture of chondrocytes in alginate results in the production of an ECM which is organized into two compartments: a cell-associated matrix compartment which
5 metabolically resembles the pericellular and territorial matrices of native tissues, and (ii) a further removed matrix compartment which metabolically resembles the interterritorial matrix of native tissue.

The formation of a highly structured cell-associated
10 matrix around each chondrocyte is important for several reasons. First, the cell-associated matrix is anchored to the cell via receptors such as anchorin CII (which binds to collagen) and CD44 (which binds to hyaluronan in proteoglycan aggregates). Once this matrix has been
15 reestablished, the cells are much less likely to become dedifferentiated. Second, the chondrocyte turns over proteoglycan aggrecan and thus remodels this matrix relatively rapidly. The chondrocyte is much less effective in remodeling the further removed matrix.

20 In an important aspect of the invention, the cell-associated matrix compartment of the ECM produced during culture in alginate includes aggrecan (the major cartilage proteoglycan), collagen types II, IX and XI, and hyaluronan. Aggrecan molecules are formed
25 principally in aggregates bound to receptors (including CD44) on the chondrocyte cell membrane via hyaluronan molecules.

The relative proportions of each component in the cell-associated matrix vary depending on the length of
30 time in culture. In an important aspect of the invention, the cell-associated matrix has at least about 5mg/cc³ of aggrecan, a ratio of aggrecan to hyaluronan (mg/mg) between 10:1 and 200:1, and a ratio of aggrecan to collagen (mg/mg) from 1:1 to about 10:1. Further, the
35 molecular composition of the cell-associated matrix (around each cell) and further removed matrix (between the cells) can be altered by specific modifications of the culture conditions. These modifications involve the

physical arrangement of the culture system and application of various growth factors. Manipulation of matrix production and organization are central to the engineering of articular cartilage *in vitro* for surgical
5 treatment of cartilage injury.

In an important aspect of the invention, the contents of collagen and of the pyridinoline crosslinks of collagen increase with time of culture. The crosslinks in particular show a dramatic increase in
10 concentration after two weeks of culture. By keeping the length of the culture period relatively short, the collagen fibrils in the cell-associated matrix do not become overly crosslinked. A tissue that has good functional properties but is relatively deficient in
15 crosslinks is easier to mold and more likely to become integrated within the host cartilage than a harder, crosslink-rich tissue.

Recovery of Chondrocytes with their Cell-associated 20 Matrix

Recovery of chondrocytes with their cell-associated matrix is accomplished by solubilizing alginate beads after a sufficient culture period. One approach is set forth in Figure 2. Alginate beads 20 are first
25 solubilized using known techniques. The resulting cell suspension then is centrifuged, separating the cells with their cell-associated matrix 40 (in the pellet) from the components of the further removed matrix 30 (in the supernatant).

30

Culturing the Chondrocyte with their Cell-associated Matrix on a Semipermeable Membrane.

In this aspect of the invention, the chondrocytes with their cell-associated matrix isolated as described
35 above, are further cultured on a semipermeable membrane. The semipermeable culture system of the invention is shown in Figure 3.

In accordance with the present invention, a cell culture insert 50 is placed into a plastic support frame 60. Culture medium 70 flows around the cell culture insert 50. In an important aspect of the invention, cell culture insert 50 includes a semipermeable membrane 80. The semipermeable membrane 80 allows medium to flow into the cell culture insert in an amount effective for completely immersing the chondrocytes and their cell-associated matrix 90.

In an important aspect of the invention, the semipermeable membrane 80 allows the chondrocytes to have continuous access to nutrients while allowing the diffusion of waste products from the vicinity of the cells. In this aspect, the membrane should have a pore size effective to prevent migration of chondrocytes through the pores and subsequent anchoring to the membrane. In this aspect of the invention, the pore size should not be more than about 5 microns. Further, the membrane utilized should have a pore density effective for providing the membrane with sufficient strength so that it can be removed from its culture frame without curling, and with sufficient strength such that the tissue on the membrane can be manipulated and cut to its desired size. In this aspect of the invention, the membrane has a pore density of at least about 8×10^5 pores/cm². The membrane may be made of any material suitable for use in culture. Examples of suitable membrane systems include but are not restricted to: (i) Falcon Cell Culture Insert [Polyethylene terephthalate (PET) membrane, pore size 0.4 or 3.0 microns, diameter 12 or 25 mm]; (ii) Coaster Transwell Plate [Polycarbonate membranes, pore size, 0.1, 0.4, 3.0 or 5.0 microns, diameter 12 or 24.5 mm]; (iii) Nunc Tissue Culture Insert [Polycarbonate Membrane Insert: pore size, 0.4 or 3.0 microns, diameter 10mm or 25mm]; Millicell Culture Plate Insert (PTFE (polytetrafluoroethylene) membrane, polycarbonate, pore size 0.4 or 3.0 microns, diameter 27 mm)).

The beads containing chondrocytic cells are first cultured in equal parts of Dulbecco's modified Eagle medium and Ham's F12 medium containing 20% fetal bovine serum (Hyclone, Logan, UT), about 25 μ g/ml ascorbate and 50 μ g/ml gentamicin or another antibiotic (Gibco). In an alternative approach, the beads are cultured in another type of medium conducive to the maintenance of chondrocytes in culture. In an alternative approach, the beads are cultured in a closed chamber that allows for continuous pumping of medium. In an important aspect, the medium contains fetal bovine serum containing endogenous insulin-like growth factor-1 at a concentration of at least about 10 ng/ml. In this usage, fetal bovine serum may also be considered a growth factor. Suitable growth factors that may be exogenously added to the medium to maximally stimulate formation of the cell-associated matrix include but are not limited to osteogenic protein-1 (OP-1), bone morphogenic protein-2 and other bone morphogenetic proteins, transforming growth factor beta, and insulin-like growth factor.

In another aspect of the invention, cells with their reestablished cell-associated matrix are further cultured in medium on the semipermeable membrane for an amount of time effective for allowing formation of a cohesive cartilage matrix. Culture times will generally be at least about 3 days under standard culture conditions. Partial inhibition of matrix maturation prior to implantation is important in providing a matrix that is not as stiff as mature cartilage, but which has enough tensile strength to retain its shape and structure during handling. Such a tissue should be malleable enough to be press fitted into the defect.

In an important aspect of the invention, mechanical properties of the cartilage matrix can be controlled by increasing or decreasing the amount of time that the cartilage tissue is cultured on the membrane. Longer culture time will result in increased crosslink densities.

Cartilage Matrix

In an important aspect of the invention, the cartilage matrix that forms on the semipermeable membrane has a concentration of aggrecan of at least about 5
5 mg/cc³. The cartilage matrix contains an amount of hyaluronan effective for allowing all the newly synthesized molecules to become incorporated into proteoglycan aggregates. The matrix of the tissue formed on the membrane contains aggregated aggrecan molecule at
10 a concentration not less than 5 mg/cc³, a ratio of aggrecan to hyaluronan of about 10:1 to about 200:1, and a ratio of aggrecan to collagen of about 1:1 to about 10:1. In addition, the short period of culture ensures that concentration of pyridinium crosslinks remains low
15 enough to permit remodeling of the tissue *in vivo* but high enough to allow the orthopedic surgeon to handle it easily.

In an important aspect, cartilage matrix which forms on the membrane should have a thickness of less
20 than about 2 mm, as cells in a thicker sheet are not likely to gain access to nutrients as readily. Cartilage matrix will generally have a disk-like structure conforming to the membrane; however, there is no requirement that the cartilage matrix have a disk-like
25 structure. In this aspect of the invention, the shape of the cartilage matrix should be effective for allowing an orthopedic surgeon to handle the tissue (either a disk or sheet) and cut it into the size needed for a press fit into a defect. The size of the cartilage matrix will
30 generally be slightly bigger than the size of the defect.

The following examples illustrate methods for carrying out the invention and should be understood to be illustrative of, but not limiting upon, the scope of the
35 invention which is defined in the appended claims.

EXAMPLES

EXAMPLE I

METHODS

Chondrocytes

- 5 Feasibility studies were performed using chondrocytes from young bovine articular cartilage as described below. A similar approach can be (and has been) used to promote cartilage matrix formation by human adult articular chondrocytes.

10

Culture Conditions

- Full-thickness articular cartilage is dissected from the metacarpophalangeal joints of 14- to 18-month-old bovine steers - special attention is given to prevent
- 15 contamination by synovial tissue. The cartilage slices are digested at 37°C for 1 hour with 0.4% Pronase (Calbiochem, La Jolla, CA) and then for 16 hours with 0.025% collagenase P from *Clostridium hystolyticum* (Boehringer Mannheim, Indianapolis, IN) in DMEM/F12
- 20 (Gibco BRL, Grand Island, NY) containing 5% fetal bovine serum. The resulting digest is filtered through a 40- μ m cell strainer (Cat # 2340, Beckton Dickinson, Franklin Lakes, NJ) and the chondrocytes are recovered. The chondrocytes are resuspended at a density of 4×10^6
- 25 cells/ml in a 1.2% solution of sterile alginate (Kelton LV, Kelco, Chicago, IL) in 0.15 M NaCl. The cell suspension is slowly expressed through a 22-gauge needle and dropped into a 102 mM calcium chloride solution. The beads are allowed to polymerize in this solution for 10
- 30 minutes and then washed twice in 0.15 M NaCl and then twice in DMEM/F12. The beads then are transferred to complete culture medium (200 beads in 10 ml) consisting of DMEM/F12, 10 μ g/ml gentamicin, 20% fetal bovine serum, an effective amount of growth factor and 25 μ g/ml
- 35 ascorbic acid (Gibco BRL). The cultures are kept at 37°C in a humidified atmosphere of 5% CO₂ in air with the medium replaced by fresh medium daily.

- After 7 days of culture, the medium is collected and the beads dissolved at 4°C by incubation for 20 minutes in 55mM sodium citrate, 0.15 M NaCl, pH 6.8. The resulting suspension of cells (with their associated matrix) is
- 5 centrifuged at 4°C at 100 g for 10 minutes. The pellet, containing the cells with their cell-associated matrix, then is resuspended in DMEM/F12 containing 20% fetal bovine serum, an effective amount of growth factor and the supplements described above.
- 10 Three milliliters of complete medium are added to each well of a Falcon Cell Culture Insert Companion plate (Cat. # 3090) and kept in the incubator at 37°C in the presence of 5% CO₂ for 20 minutes. A Falcon Cell Culture Insert (Cat. #3090, 0.45um, PET membrane, transparent,
- 15 diameter 23.1mm, Beckton Dickinson) is aseptically placed into each well of a 6-well multiwell plate. A 2.5 ml aliquot (corresponding to the cells and their associated matrix present in 200 beads) is plated onto each Insert. The cultures are maintained at 37°C in a humidified
- 20 atmosphere of 5% CO₂. After 7 additional days in culture (referred to as days 8-14 of culture), each Insert is removed from the tissue culture plate and the PET membrane is cut using a scalpel.

25 Characterization of the Chondrocytes and Cartilage Matrix Formed after 7 Days of Culture in Alginate Beads

- On day 7, both (i) whole beads and (ii) the cells recovered with their cell-associated matrix after solubilization of the beads were fixed, sectioned and
- 30 visualized by phase contrast microscopy, as previously described. The matrix in both matrix compartments (cell-associated matrix and further removed matrix) was characterized for contents of proteoglycan, hyaluronan, collagen, and collagen crosslinks as described below.

35

Characterization of the Chondrocytes and Cartilage Matrix
Formed After 7 Days of Culture in Alginate Beads Followed
by 7 More Days of Culture on the Membrane

On day 14, the morphological appearance of the tissue on
5 the membrane was assessed by histology, its composition
determined using a battery of biochemical assays, and the
metabolism of the chondrocytes assessed in culture.

(i) Histology.

On day 14, the tissue, still on the PET membrane, was
10 fixed using 4% paraformaldehyde in PBS and embedded in
paraffin. Eight- μ m-thick sections were cut and stained
with Toluidine Blue for sulfated glycosaminoglycans. For
electron microscopy, a small piece of tissue was cut and
fixed in 2 % glutaraldehyde, 0.1M sodium cacodylate
15 buffer, 10 μ M CaCl₂, pH 7.4.

(ii) Biochemical Composition of the Tissue.

At the end of the culture period (day 14), the tissue was
removed from the membrane, blotted onto dry gauze and the
wet weight measured. The tissue then was lyophilized and
20 weighed again to obtain a measure of water content. The
lyophilized tissue was digested at 56°C for 24 hours with
papain (20 μ g/ml) in 0.1 M Sodium acetate, 50 mM EDTA, 5
mM cysteine hydrochloride, pH 5.53.

DNA content was measured using the bisbenzimidazole
25 fluorescent dye [Hoechst 33258 (Polyscience, Warrington,
PA)] method with calf thymus DNA as a standard.

Total content of sulfated glycosaminoglycan was
determined by the dimethylmethylen blue (DMMB:
Polyscience) assay as previously described.

30 Hydroxyproline content was measured by reverse-phase
HPLC, using the PICO tag labeling technique, after
hydrolysis of the sample for 16 hours at 110°C in 6N HCl.
Collagen content in each sample was estimated by
multiplying the hydroxyproline content by 8.2.

Hyaluronan content was measured using sandwich ELISA technique as previously described and reported relative to the collagen content.

5 (iii) Characterization of Collagen Types Synthesized on Day 14 of Culture.

On day 14 of culture (i.e. 7 days after the chondrocytes and their cell-associated matrix were placed upon the membrane of the tissue culture insert), the tissue on the
10 membrane was incubated for 16 hours in DMEM containing [³H]-proline at 50 μ Ci/ml, fetal bovine serum at 200 μ l/ml, ascorbic acid at 25 μ g/ml) and beta-aminopropionitrile (BAPN) at 10 μ g/ml to prevent crosslink formation. The tissue then was minced and
15 extracted overnight with 1.0 M NaCl, 50 mM Tris containing proteinase inhibitors (1 mM N-ethylmaleimide, 1 mM phenylmethylsulfonyl fluoride, 5 mM EDTA) at 4°C. The residue was separated from the NaCl extract by centrifugation at 3000 rpm for 15 minutes and solubilized
20 in 1% SDS. The labeling medium, the NaCl extract and the SDS fraction were dialyzed against distilled water to remove unincorporated isotopes. The samples were further dialyzed against 0.5M acetic acid and incubated overnight at 4°C with pepsin (100 μ g/ml) in 0.2 M NaCl, 0.5 M
25 acetic acid. The pepsin then was inactivated by the addition of NaOH in each sample to raise the pH to 8.6. The samples were further dialyzed against 0.4 M NaCl, 10mM Tris, pH 7.4. Aliquots of the samples were analyzed by SDS-PAGE in an 8% acrylamide gel under reducing
30 conditions. The gel was subjected to fluorography and the images were scanned and quantified as previously described.

35 (iv) Characterization of Proteoglycans Synthesized on Day 14 of Culture.

On day 14 of culture, the tissue was incubated for 4 hours in DMEM/F12 containing ³⁵S-sulfate at 20 μ Ci/ml, 20%

fetal bovine serum (200 μ l/ml) and an effective amount of growth factor. The tissue then was extracted with 4 M guanidine chloride, 0.05 M sodium acetate, pH 6.0, in the presence of protease inhibitors as previously described.

- 5 Radiolabeled proteoglycans were purified by DEAE column chromatography using a step-wise concentration gradient of sodium chloride. The purified proteoglycans were analyzed for size by sieve chromatography on Sepharose CL2B (Pharmacia) under dissociative conditions.

10 (v) Quantification of Collagen-Specific Crosslinks

- Collagen-specific crosslinks (pyridinoline and deoxypyridinoline) were quantified using fluorescence detection following reverse-phase HPLC as previously described. Briefly, the samples were hydrolyzed in 6N
15 HCl for 24 hours at 110°C and the hydrolysates were applied to a CF-1 cellulose column to separate the crosslinking amino acids. The bound fraction was eluted with distilled water and dried. The samples were separated by reverse-phase HPLC on a C18 ODS column
20 (Beckman) and the fluorescence of the eluted peaks was monitored using a spectrofluorimeter as previously described. The concentrations of crosslinking amino acid were reported as equivalents of external standards of pyridinoline and deoxypyridinoline.

25 vi) Measurement of Mechanical Property of the Cartilage Tissue Formed *in vitro*

- The compressive and tensile properties of the transplantable construct were determined using standard methods. For compressive tests, disks (6.4 mm diameter)
30 were cut from constructs and tested in a uniaxial confined compression apparatus on a mechanical testing machine (Dynastat: IMASS, Cambridge, MA, USA) under computer control as described previously (15). Equilibrium load-displacement data were acquired, and the
35 equilibrium confined compression modulus was calculated using the formulation of Kwan et al (16). For tensile tests, tapered specimens (1 mm width in the gage region) were cut from constructs (17) and subjected to elongation

at a constant strain rate until failure. The load at failure was normalized to the initial cross-sectional area to determine the ultimate stress. In all tests, samples were immersed in a physiological saline buffer.

5

RESULTS

Studies of the Matrix Formed after Seven Days of Culture in Alginate Beads

On day 7 of culture, tissue formed by chondrocytes cultured in the presence of growth factor contained an abundant, voluminous ECM. Examination of the cells in the beads by phase contrast microscopy showed evidence of only a moderate degree of cell division. After dissolving the beads with 55 mM sodium citrate in 0.9 % NaCl, the cells and their associated matrix also were visualized. The structure of this cell-associated matrix was well-preserved, consistent with the view that the cell-associated matrix is tightly bound to the cell membrane via cell-surface receptors such as CD44, integrins and anchorin CII. Biochemical analyses showed that the accumulated matrix was primarily composed of proteoglycan and to a lesser extent hyaluronan. It contained relatively little collagen immediately prior to transfer upon the membrane of the culture insert. Collagen-specific crosslinking was barely detectable at this stage.

Studies of the Matrix Formed after Seven Additional Days of Culture on the Membrane.

Between days 8 and 14 of culture, the individual cells and their associated matrix progressively became incorporated into a single mass of cartilaginous tissue. The regenerated cartilaginous tissue had a disk-like structure with a thickness of approximately one millimeter. The regenerated cartilage was readily recovered from the tissue culture insert by cutting the membrane. Histological examination of the tissue

revealed it contained a cartilage-like matrix that strongly stained with Toluidine blue and thus was rich in proteoglycans (Figure 4). The staining was especially strong in the territorial (pericellular) areas of the matrix. Most of the chondrocytes were spherical in shape (as expected from chondrocytes that are phenotypically stable). A thin layer of flattened cells (similar in shape to the chondrocytes found in the most superficial layer of articular cartilage) were observed on the surface of the culture and on the interface to the membrane of the tissue culture insert. Examination in the electron microscope showed the presence of thin fibrils in the territorial areas and the absence of fibrils in the interterritorial area.

Biochemical analyses of the tissue on day 14 of culture revealed that, as native articular cartilage matrix, it was very rich in proteoglycan and contained significant amounts of hyaluronan. In contrast, collagen was present at a much lower concentration than in cartilage. Further, the concentration of pyridinoline crosslinks (18 mmol/mol collagen), which by crosslinking the collagen fibrils make the fibrillar network more difficult to resorb, was very much lower than in articular cartilage. Although the stiffness of this tissue was considerably lower than that of normal adult articular cartilage, the tissue nevertheless was easy to handle. It should be easy for to orthopedic surgeons to press-fit it, if needed, into a defect in the articular cartilage surface. Preferably, this cartilaginous tissue should be dissected into a size that is 0.5 mm larger than the real defect to allow the surgeon to press-fit it into the defect: such a fit would allow the implanted tissue to make close contact with the patient's cartilage. This approach may prove useful in maximizing its integration within the articular tissue of the patient.

Aggrecan, the major proteoglycan of normal articular cartilage, made up more than 90% of the ³⁵S-proteoglycans

synthesized on day 14 of culture and incorporated into the matrix. Small nonaggregating ³⁵S-proteoglycans were recovered from the tissue in much smaller amounts.

Analysis of the newly synthesized collagens showed that
5 the chondrocytes produced mostly the cartilage-specific collagen type II, although small amounts of other cartilage collagens were detected.

Measurement of Mechanical Property of the Cartilage Tissue Formed *in vitro* revealed that the equilibrium
10 confined compression modulus after 1 week of culture in the insert was 0.001 MPa, which is markedly lower than normal full thickness cartilage (about 0.4 MPa). At the same time point, the peak tensile stress was 0.01 Mpa, which was also lower than normal full cartilage. However,
15 both values increased markedly with time in culture.

EXAMPLE II

In Vivo Animal Study

20

Preparation of the Tissue to be Implanted. The articular cartilage from rabbits weighing 1-1.5 kg was dissected from each joint and digested with pronase and collagenase sequentially. The chondrocytes thus obtained were

25 encapsulated in alginate beads and cultured for 1 week.

After 12 weeks, the beads were dissolved by addition of sodium citrate solution and the cells with their cell-associated matrix recovered by mild centrifugation.

After washing with physiological saline, the cells were
30 placed into a tissue culture insert (Falcon, CAT # 3090) and allowed to reform a cartilage-like tissue over seven days of culture in DMEM/Ham F-12 medium supplemented with 20% FBS, 25ug/ml ascorbic acid, 10μg/ml gentamicin. The grafts for transplantation were then removed from the
35 tissue culture insert and placed into sterile culture tubes.

Transplantation. Twelve male rabbits (3-3.5 kg) underwent surgery. After general anesthesia with ketamine and xylozine followed by isoflurane inhalation, the rabbit was placed in a supine position. Following proper

5 sterilization and draping, the knee joints were exposed through a medial parapatellar approach. An incision of the capsule was performed and the patella was dislocated laterally. A 3.5mm-full thickness cartilage defect was made (using a biopsy punch) at the center of the patellar
10 groove. The defects was then treated as follows.

Group 1 (control): the defect was not treated.

Group 2 (cartilaginous graft): a cartilaginous graft (generated as described above) was placed into the defect
15 In all cases, the joint was then washed several times with sterile saline containing antibiotics and closed with layered sutures. The animal was allowed to recover from anesthesia in the cage. After 4 weeks, the animals were euthanatized as described above and a photograph of cartilage surface was taken.

20

Results:

The defect in Group 1 showed partial spontaneous repair by a white scar tissue. On the other hand, the defect in Group 2 was filled with transparent cartilage whose
25 surface resembled the surface of normal articular cartilage (Figure 5).

Numerous modifications and variations in the practice of the invention are expected to occur to those
30 skilled in the art upon consideration of the foregoing detailed description of the invention. Consequently, such modifications and variations are intended to be included within the scope of the following claims.

35

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WHAT IS CLAIMED IS:

1. A method for the production of transplantable cartilage matrix, the method comprising:
 - 5 isolating chondrogenic cells;
 - culturing chondrogenic cells for an amount of time effective for allowing formation of a chondrogenic cell-associated matrix;
 - recovering the chondrogenic cells with the cell-associated matrix; and
 - 10 culturing the chondrogenic cells with the cell-associated matrix on a semipermeable membrane in the presence of growth factor for a time effective for allowing formation of a cartilage matrix.
- 15 2. A method for the production of transplantable cartilage matrix according to claim 1 wherein the chondrogenic cells are cultured in an alginate medium.
- 20 3. A method for the production of transplantable cartilage matrix according to claim 1 wherein the chondrogenic cell-associated matrix includes aggrecan, collagen types II, IX and XI, and hyaluronan.
- 25 4. A method for the production of transplantable cartilage matrix according to claim 3 wherein the ratio of aggrecan to hyaluronan in the chondrogenic cell-associated matrix is at least above about 10:1.
- 30 5. A method for the production of transplantable cartilage matrix according to claim 1 wherein the semipermeable membrane has a pore size of less than about 5 microns and a pore density of at least about 8×10^5 pores per cm^2 .
- 35 6. A method for the production of transplantable cartilage matrix according to claim 1 wherein the growth factor is selected from the group consisting of osteogenic protein-1, bone morphogenetic proteins,

transforming growth factor beta, insulin-like growth factor, and mixtures thereof.

7. A method for the production of transplantable
5 cartilage matrix according to claim 1 wherein the cartilage matrix includes aggrecan, collagen types II, IX and XI, and hyaluronan.

8. A method for the production of transplantable
10 cartilage matrix according to claim 7 wherein the cartilage matrix has a ratio aggrecan to hyaluronan of at least above about 10:1.

9. A cohesive cartilage matrix produced by a method
15 comprising:

isolating chondrogenic cells;

culturing chondrogenic cells for an amount of time effective for allowing formation of a chondrogenic cell-associated matrix;

20 recovering the chondrogenic cells with the cell-associated matrix; and

culturing the chondrogenic cells with the cell-associated matrix on a semipermeable membrane in the presence of growth factor for a time effective for
25 allowing formation of a cohesive cartilage matrix.

10. A cohesive cartilage matrix according to claim
9 wherein the chondrogenic cells are cultured in an alginate medium.
30

11. A cohesive cartilage matrix according to claim
9 wherein the chondrogenic cell-associated matrix includes aggrecan, collagen types II, IX and XI, and hyaluronan.
35

12. A cohesive cartilage matrix according to claim
11 wherein the ratio aggrecan to hyaluronan in the chondrogenic cell-associated matrix is at least above about 10:1.

13. A cohesive cartilage matrix according to claim 9 wherein the semipermeable membrane has a pore size of less than about 5 microns and a pore density of at least about 8×10^5 pores per cm^2 .

5

14. A cohesive cartilage matrix according to claim 9 wherein the growth factor is selected from the group consisting of osteogenic protein-1, bone morphogenetic proteins, transforming growth factor beta, insulin-like growth factor, and mixtures thereof.

10

15. A cohesive cartilage matrix according to claim 9 wherein the cohesive cartilage matrix includes aggrecan, collagen types II, IX and XI, and hyaluronan.

15

16. A cohesive cartilage matrix according to claim 15 wherein the cohesive cartilage matrix has a ratio of aggrecan to hyaluronan of at least about 10:1.

20

17. A cohesive cartilage matrix comprising:
at least about 5 mg/cc^3 aggrecan;
collagen types II, IX and XI, and hyaluronan;
wherein the ratio of aggrecan to hyaluronan is about 10:1 to about 200:1, and the ratio of aggrecan to collagen is about 1:1 to about 10:1.

25

18. A cohesive cartilage matrix according to claim 17 wherein the matrix has a thickness of less than about 2 mm.

30

19. A method for the surgical repair of cartilage damage comprising:

producing a transplantable cartilage matrix; and
surgically implanting the cartilage matrix.

35

20. A method for the surgical repair of cartilage damage according to claim 19 wherein the transplantable cartilage matrix is produced by a method comprising:
isolating chondrogenic cells;

culturing chondrogenic cells for an amount of time effective for allowing formation of a chondrogenic cell-associated matrix;

recovering the chondrogenic cells with the cell-associated matrix;

culturing the chondrogenic cells with the cell-associated matrix on a semipermeable membrane in the presence of growth factor for a time effective for allowing formation of a cartilage matrix.

10

21. A method for the surgical repair of cartilage damage according to claim 20 wherein the chondrogenic cells are cultured in an alginate medium.

15

22. A method for the surgical repair of cartilage damage according to claim 20 wherein the chondrogenic cell-associated matrix includes aggrecan, collagen types II, IX and XI, and hyaluronan.

20

23. A method for the surgical repair of cartilage damage according to claim 22 wherein the ratio aggrecan to hyaluronan in the chondrogenic cell-associated matrix is at least above about 10:1.

25

24. A method for the surgical repair of cartilage damage according to claim 20 wherein wherein the semipermeable membrane has a pore size of less than about 5 microns and a pore density of at least about 8×10^5 pores per cm^2 .

30

25. A method for the surgical repair of cartilage damage according to claim 20 wherein wherein the growth factor is selected from the group consisting of osteogenic protein-1, bone morphogenetic proteins, transforming growth factor beta, insulin-like growth factor, and mixtures thereof.

35

26. A method for the surgical repair of cartilage damage according to claim 19 wherein the cartilage matrix

includes aggrecan, collagen types II, IX and XI, and hyaluronan.

27. A method for the production of transplantable
5 cartilage matrix according to claim 26 wherein the
cartilage matrix has a ratio aggrecan to hyaluronan of at
least above about 10:1.

Fig 1

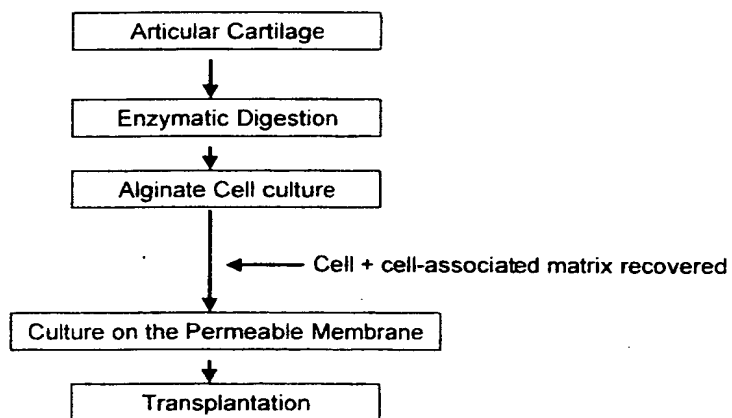
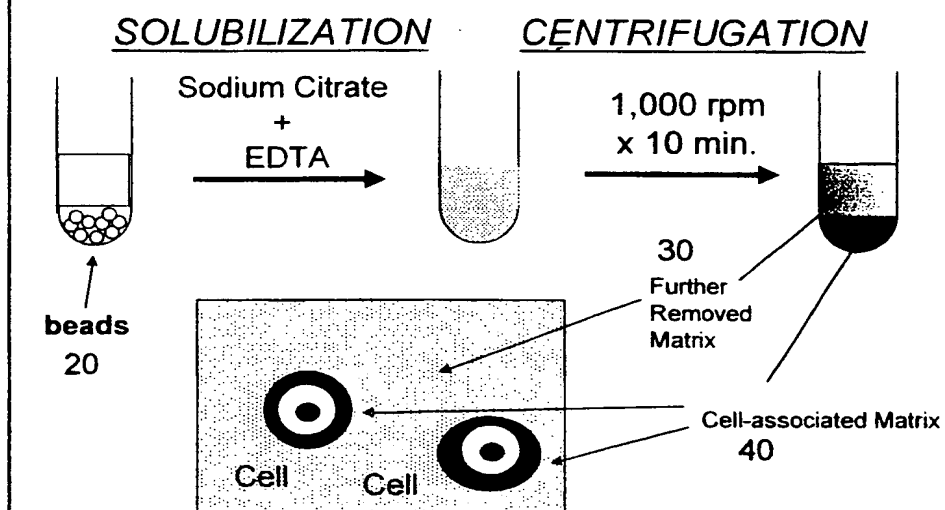
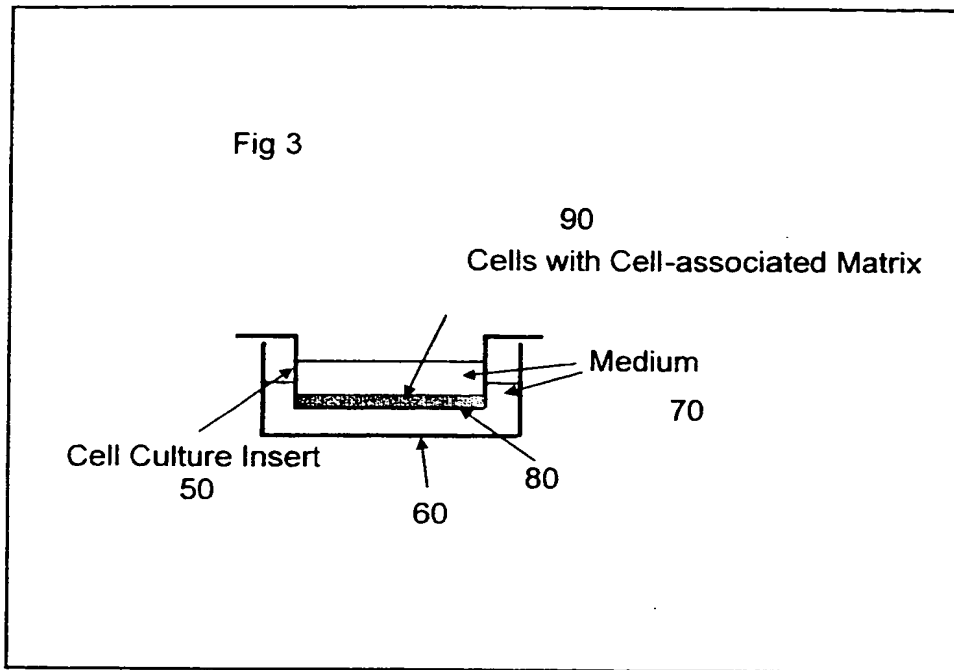
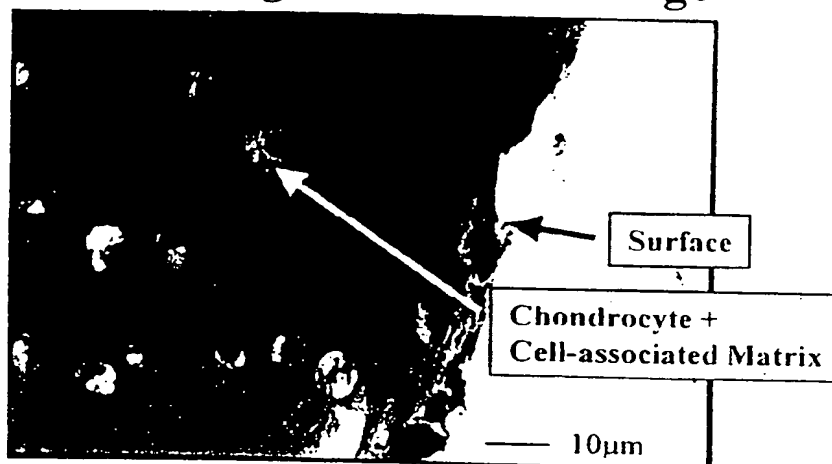
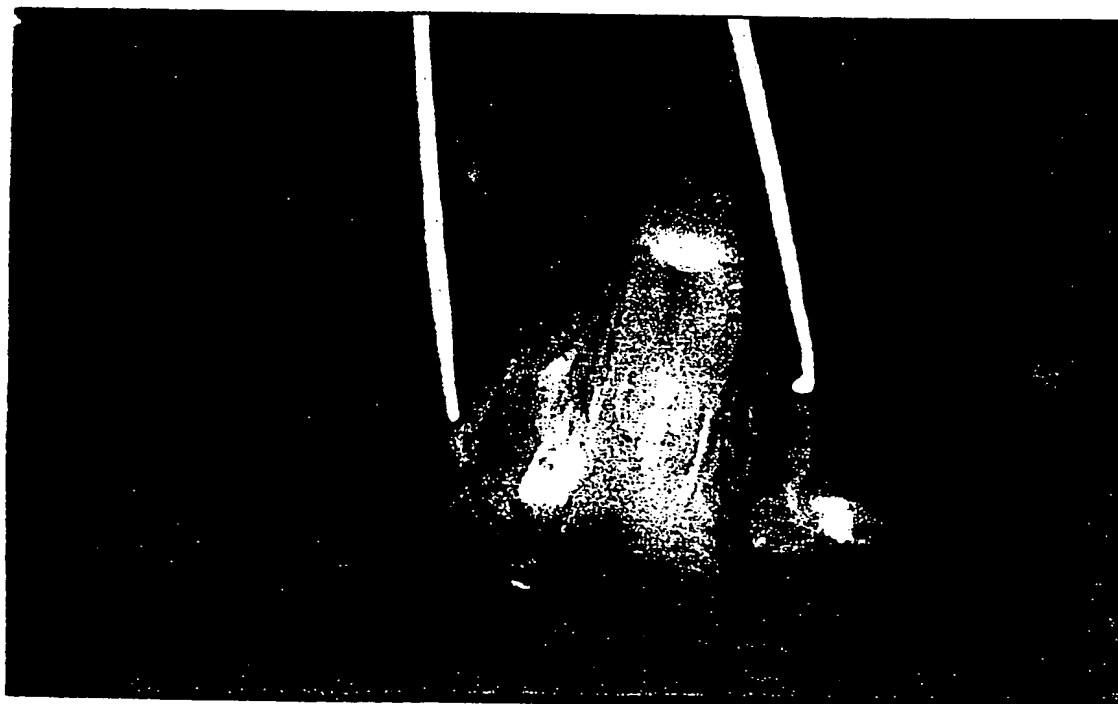


Fig 2





in vitro Regenerated Cartilage**Fig. 5****SUBSTITUTE SHEET (RULE 26)**

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/05112

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61F 2/28

US CL :623/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/366, 378, 401; 623/11, 16, 915

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P ----- Y,P	US 5,902,741 A (PURCHIO et al.) 11 May 1999, col. 15 lines 26-30, 40-45; col. 12 lines 37-40, 55, 61 to col. 13 lines 1, 29-39, 57 to col. 14 line 4, 8-11; col. 5 lines 32-44; col. 10 lines 63-66; col. 2 line 66 to col. 3 line 1.	1, 6, 9, 14, 19, 20, 25 ----- 18, 21-24, 26, 27
Y	HAUSELMANN et al., "Adult human chondrocytes cultured in alginate form a matrix similar to native human articular cartilage", The American Physiological Society, 1996, Matrix Compartmentalization by Human Chondrocytes, pages C742 to C752, especially page C742 col. 2, page C741 Abstract; and page C751 col. 1.	2-4, 7, 8, 10-12, 15-18, 21-23, 26, 27
A	US 5,536,656 A (KEMP et al.) 16 July 1996, entire document.	1-27

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

13 APRIL 2000

Date of mailing of the international search report

25 APR 2000

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/05112

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,736,396 A (BRUDER et al.) 07 April 1998, entire document.	1-27
A	US 5,786,217 A (TUBO et al.) 28 July 1998, entire document.	1-27
A,P	US 5,908,784 A (JOHNSTONE et al.) 01 June 1999, entire document.	1-27
A,P	US 5,932,459 A (SITTINGER et al.) 03 August 1999, entire document.	1-27

Form PCT/ISA/210 (continuation of second sheet) (July 1998)*

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/05112

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

EAST

Search Terms: cartilage, chondrogen\$, growth factor, cultur\$, semipermeable or semi-permeable. matrix, isolat \$, aggrecan, collagen, typ\$, hyaluronan, osteogenic protein 1 or OP1, alginate, pore\$, cells 435/401.ccls., 435 366.ccls., 435/378.ccls.

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